# Impact Analysis Report / RFC-Proposal

**Section 1: Meta-data**

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| **RFC ID** | **RFC\_NCTS\_0204** (JIRA: UCCNCTS-3151)  **RFC\_AES\_0138** (JIRA: UCCAES-1627) |
| **Related Incident ID** | **IM557596 + IM557484 + IM523688 + IM454920 / PMxxxx / KExxxx** |
| **RFC Initiator / Organization** | **NA-CH & NA-DE & NA-EE & NA-ES** |
| **CI** | **AES-P1 (DDNXA 5.15.0-v1.00 - CSE-v51.8.0)**  **NCTS-P5 (DDNTA-5.15.0-v1.0.0 - CSE-v51.8.0)**  **NCTS-P6 (DDNTA-6.2.0-v1.00 – CSE-v60.4.0)** |
| **Type of Change** | **Standard**  **Emergency** |
| **Nature of Change** | Justification for Evolutive   |  | | --- | |  | |
| **RFC Source** | |  |  | | --- | --- | | **Legal & Policy Change**  **Organisational Changes** | **Business Change**  **IT Change** | |
| **Review by Business User recommended?** | **Yes  No** |

***Change Summary***

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| **NCTS-P5 (DDNTA-5.15.0-v1.0.0) & AES-P1 (DDNXA 5.15.0-v1.00) based on CSE-v51.8.0: Correction of C0715 to manage combined risk (national and common) for one or more goods items - New guideline added. [Similar for NCTS-P6]** |
| In AES & NCTS, the Common Domain messages include the information to be pushed to the Office of Exit (AES) or to be pushed to the Office of Exit for Transit or the Office of Transit or the Office of Destination (NCTS). The correction of C0715 will fix what must be provided (or not) in case of Combined Risk. The correction shall be applied in DDNXA for AES-P1, in DDNTA for NCTS-P5 and in DDNTA for NCTS-P6. |

**Section 2: Problem statement**

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| In **CSE-v51.8.0**, the Data Group ‘RISK ANALYSIS IDENTIFICATION’ is rather complex and various questions were raised by various National Teams (in SYNERGIA and in PICS).  (…)  ---RISK ANALYSIS IDENTIFICATION 1x R  Code R a1 CL107 G0041  ------RISK ANALYSIS 999x D C0251, G0869  Sequence number R n..5 R0987  Declaration goods item number O n..5 G0003, G0021, G0999  ---------RISK ANALYSIS RESULT 99x R **E1406**  Sequence number R n..5 R0987  Code D an..17 C0715, E1101, G0002, G0821  Risk area code D an..7 CL740 C0715  Text O an..512 E1116  (…)  **Reminder:**  The presence of ‘RISK ANALYSIS’ depends on the value of the RISK ANALYSIS IDENTIFICATION.Code as defined in C0251. The entries defined in CL107 are:  N = No risk identified  R = Common risk identified  X = National risk identified  Y = **Combined risk identified** (national & common)  Z = No risk analysis performed  For **AES**, the C0251 says:  IF /\*/RiskAnalysisIdentification/code is in SET {**R, X, Y**}  THEN /\*/RiskAnalysisIdentification/RiskAnalysis = "R" ---- Common, National or Combined ----  ELSE /\*/RiskAnalysisIdentification/RiskAnalysis = “N” ---- No risk identified or No R.A. performed ----  For **NCTS-P5** it is similar, but only the EU MS must share details in case of National Risk identified  IF /\*/RiskAnalysisIdentification/code is in SET {R, Y} ---- Common or combined ----  THEN /\*/RiskAnalysisIdentification/RiskAnalysis = "R"  ELSE  IF /\*/RiskAnalysisIdentification/code is EQUAL to ‘X’ ---- National  THEN  IF the last two characters of /\*/messageSender is in SET CL010 ---- sent by EU MS ----  THEN /\*/RiskAnalysisIdentification/RiskAnalysis = "R"  ELSE /\*/RiskAnalysisIdentification/RiskAnalysis = "O"  ELSE /\*/RiskAnalysisIdentification/RiskAnalysis = "N" ---- No risk identified or No R.A. performed ----  The issue identified is about the confusion generated by C0715 that defines when   * the RISK ANALYSIS RESULT.**Code** must be present, and when * the RISK ANALYSIS RESULT.**Risk area code** must be present.   **C0715** is not correct regarding combined risk identified (RISK ANALYSIS IDENTIFICATION.Code = ‘Y’).  **C0715 in both DDNXA & DDNTA says:**  IF /\*/RiskAnalysisIdentification/code is **EQUAL to ‘R’** **---- = Common Risk only ----**  THEN  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "R" AND  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "O"  ELSE IF /\*/RiskAnalysisIdentification/code is **EQUAL to 'X'** **---- = National Risk only ----**  THEN /\*/ RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "N" AND  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "R"  ELSE ---- i.e. **EQUAL to 'Y'** **= National Risk only ----**  /\*/ RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code= "R" **AND**  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "R"  The wording of **C0715** does not cover the case when *at declaration level* there is a ***combined* risk identified** (code ‘Y’ for common and national risks), not only applicable on the same goods items.  **Example 1:**  • one result from a common risk profile “1234E”, no goods item relation, risk area “XX” and text “big danger” as well as  • one result from a national risk profile “456nat”, no goods item relation, risk area “YY” and text “gnus and gnats”.  **Example 2:**  • one result from a common risk profile “1234E”, goods item #5, risk area “XX” and text “big danger” as well as  • one result from a national risk profile “456nat”, goods item #3, risk area “YY” and text “gnus and gnats”.  **Example 3:**  • one result from a common risk profile “1234E”, no goods item relation, risk area “XX” and text “big danger” as well as  • one result from a national risk profile “456nat”, goods item #3, risk area “YY” and text “gnus and gnats”.  [Risk areas have been chosen *without* respecting code list CL715, *just an example*]  **Example 1 (in details) triggers the violation of C0715 AND triggers the violation of E1406 (see below for its wording):**  (…)  ---RISK ANALYSIS IDENTIFICATION  Code = Y  ------RISK ANALYSIS  Sequence number = 1 **[Global risk – Common + National]**  ---------RISK ANALYSIS RESULT  **Sequence number = 1**  Code = ‘1234E’  Risk area code (empty) **[C0715 !]**  Text = ‘big danger’  **Sequence number = 2**  **[E1406 !]**  Code (empty) **[C0715 !]**  Risk area code = ’*YY*’ *(i.e. must be a code valid in CL715)*  Text = ‘gnus and gnats’  (…)  The following approach is **valid according to C0715, but** it’s not an option because each risk has its own (optional) ‘text’:  (…)  ---RISK ANALYSIS IDENTIFICATION  Code = Y  ------RISK ANALYSIS  Sequence number = 1  ---------RISK ANALYSIS RESULT  **Sequence number = 1**  Code = ‘1234E’  Risk area code = ’*YY*’ *(i.e. must be a code valid in CL715)*  Text = ‘1234E’ = ‘big danger’ ; ‘YY’ = ‘gnus and gnats’  (…)  **Example 2 (in details) triggers the violation of C0715 :**  (…)  ---RISK ANALYSIS IDENTIFICATION  Code = Y  ------RISK ANALYSIS  **Sequence number = 1** **[Risk on G.I. – Common]**  Declaration goods item number = 5  ---------RISK ANALYSIS RESULT  Sequence number = 1  Code = ‘1234E’  Risk area code (empty) **[C0715 !]**  Text = ‘big danger’  **Sequence number = 2**   **[Risk on G.I. – National]**  Declaration goods item number = 3  ---------RISK ANALYSIS RESULT  Sequence number = 1  Code (empty) **[C0715 !]**  Risk area code = ’*YY*’ *(i.e. must be a code valid in CL715)*  Text = ‘gnus and gnats’  (…)  **Example 3 (in details) also triggers the violation of C0715 :**  (…)  ---RISK ANALYSIS IDENTIFICATION  Code = Y  ------RISK ANALYSIS  **Sequence number = 1**  **[Global risk – Common]**  ---------RISK ANALYSIS RESULT  Sequence number = 1  Code = ‘1234E’  Risk area code (empty) **[C0715 !]**  Text = ‘big danger’  **Sequence number = 2**  **[Risk on G.I. – National]**  Declaration goods item number = 3  ---------RISK ANALYSIS RESULT  Sequence number = 1  Code (empty) **[C0715 !]**  Risk area code = ’1007000’  Text = ‘gnus and gnats’  (…)  In the DDNxA-5.15.0-v1.00, the wording of C0715 incorrectly requires that if code `Y` is used, then ***both*** Data Items **Code** ***and*** **Risk area code** (in D.G. RISK ANALYSIS RESULT) ***must*** be filled in for the ***same*** occurrence of the `Risk analysis` data group, which is problematic, as illustrated above.  The text of C0715 should be rephrased to make it fully clear and precise that such kind of combination (see examples above) might be also registered in case of Risk analysis identification code `Y`.  While the **example 1** should be working **fine** (after correction of C0715) for the messages created **after the end of the Transitional Period**, the Technical Rule for Transition **E1406** that says:  IF <Decisive Date> is LESS than or EQUAL to <TPendDate>  THEN  **/\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult** AND  /\*/Consignment/ActiveBorderTransportMeans AND  /\*/Consignment/HouseConsignment AND  /\*/Consignment/HouseConsignment/ConsignmentItem/Commodity/DangerousGoods  **multiplicity shall be set to '1x'**  requires another (temporary) ad hoc solution **to be** **applied ONLY** **during the Transitional Period**:  **Example 1 (in details, after correction) shall not violate the new C0715 AND shall not trigger the violation of E1406:**  (…)  ---RISK ANALYSIS IDENTIFICATION  Code = Y  ------RISK ANALYSIS  **Sequence number = 1**  **[Global risk – Common]**  ---------RISK ANALYSIS RESULT  Sequence number = 1 **[ok with E1401]**  Code = ‘1234E’  Risk area code (empty) **[ok with new C0715]**  Text = ‘big danger’  **Sequence number = 2** **[Globak risk – National]**  ---------RISK ANALYSIS RESULT  Sequence number = 1 **[ok with E1401]**  Code (empty) **[ok with new C0715]**  Risk area code = ’1007000’  Text = ‘gnus and gnats’  (…)  This temporary solution for those exceptional cases should be explained by defining a new Guideline.  In case of DOWNGRADE during the Transitional Period, only the **Common risks** will we inserted in the Data Group <RISK ANALYSIS> of the legacy message created by the convertor (as documented in **TR9175**: ‘*The last digit, which is used to identify the type of risk, shall be validated against codelist “Risk analysis result code”.’* and in **R819**(ECS-P2) & **R821**(NCTS-P4): *‘Only those occurrences of the data group shall be included in the message for which the last digit of the attribute “Risk Analysis result code” has one of the following values: D, E and Z.’*).  **NCTS-P4 – DDNTA-v20.00:**  RISK ANALYSIS 999x D C186, **R821**  Item Number involved O n..5 R824, R875  Risk Analysis result code R an..5 **TR9175**  Risk Analysis text O an..350  Risk Analysis LNG O a2 CL012 TR0099  **ECS-P2 – DDNXA-v11.00**  RISK ANALYSIS 999x O **R819**  Item Number involved O n..5 R824, R875  Risk Analysis result code R an..5  Risk Analysis text O an..350  Risk Analysis LNG O a2 CL012 TR0099  The same issue (for C0715, not for E1401) is also included in the **DDNTA-6.2.0-v1.0.0** based on **CSE-v60.4.0**. |

**Section 3: Description of proposed solution**

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| The **DDNXA-5.15.0-v1.0.0 (incl. Appendix Q2)** and the **CSE-v51.8.0**,  the **DDNTA-5.15.0-v1.0.0 (incl. Appendix Q2)** and the **CSE-v51.8.0**,  and the **DDNTA-6.2.0-v1.0.0 (incl. Appendix Q2)** and the **CSE-v60.4.0**,  shall be corrected as illustrated below (addition of **text highlighted in yellow** – removal of ~~text with strikethrough~~). [the *text highlighted in blue* is **not** part of the implementation, it is presented here for clarification purposes only]:  The wording of condition **C0715** shall be corrected as follows:  **Technical Description:**  IF /\*/RiskAnalysisIdentification/code is EQUAL to 'R' *// = Common risk identified*  THEN /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "R"  AND /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "O"  ELSE IF /\*/RiskAnalysisIdentification/code is EQUAL to 'X' *// = National risk identified*  THEN /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "N" AND  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "R"  ELSE ~~/\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "R" AND~~  ~~/\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = “R”~~  IF /\*/RiskAnalysisIdentification/code is EQUAL to 'Y' *// = Combined risk identified*  THEN { **at least one** occurrence of /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult (**within any**  /\*/RiskAnalysisIdentification/RiskAnalysis) **with**  { /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "R" AND  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "O"}} *// for Common risk part*  AND  { **at least one** occurrence of /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult (**within any**  /\*/RiskAnalysisIdentification/RiskAnalysis) **with**  { /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code = "N" AND  /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/riskAreaCode = "R"}} *// for National risk part*  **Functional Description:**  IF <RISK ANALYSIS IDENTIFICATION.Code> is EQUAL to 'R' *// = Common risk identified*  THEN <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> = "R"  AND <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Risk area code> = "O"  ELSE IF <RISK ANALYSIS IDENTIFICATION.Code> is EQUAL to 'X' *// = National risk identified*  THEN <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> = "N" AND  <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Risk area code> = "R"  ELSE ~~<RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code>= "R" AND~~  ~~<RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Risk area code> = "R"~~  IF <RISK ANALYSIS IDENTIFICATION.Code> is EQUAL to 'Y') *// = Combined risk identified*  THEN { **at least one** occurrence of   <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT> (**within any**   <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS>) **with**   { <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> = "R" AND   <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Risk **a**rea **c**ode> = "O"}}   *// for Common risk part*  AND  { **at least one** occurrence of   <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT> = "R" (**within any**   <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS>) **with**   { <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Code> = "N" AND  <RISK ANALYSIS IDENTIFICATION-RISK ANALYSIS-RISK ANALYSIS RESULT.Risk **a**rea **c**ode> = "R"}}  *// for National risk part*  For clarity purpose, two **new Guidelines (G0715 and G0716)** are added at the Data Group <RISK ANALYSIS RESULT>, as documented below:  (…)  ---RISK ANALYSIS IDENTIFICATION 1x R  Code R a1 CL107 G0041  ------RISK ANALYSIS 999x D C0251, G0869  Sequence number R n..5 R0987  Declaration goods item number O n..5 G0003, G0021, G0999  ---------RISK ANALYSIS RESULT 99x R E1406 **G0715 G0716**  Sequence number R n..5 R0987  Code D an..17 C0715, E1101, G0002, G0821  Risk area code D an..7 CL740 C0715  Text O an..512 E1116  where **G0715** is defined as:  **Functional Description:**  Each occurrence of this data group can include either a common risk or a national risk (it **should not** **cannot** be merged in one occurrence).  where **G0716** is defined as:  **Functional Description:**  During the Transitional Period, if more than one (1) iteration of the Data Group <RISK ANALYSIS RESULT> is needed to report multiple risks (combined or not) identified for one Goods Item (i.e. with the same ‘Declaration goods item number’) or for the whole Consignment (i.e. no ‘Declaration goods item number’ to report), then the Data Group <RISK ANALYSIS **RESULT**> includes multiple iterations of the Data Group <RISK ANALYSIS **RESULT**> with the same ‘Declaration goods item number’ or multiple iterations of the Data Group <RISK ANALYSIS> without ‘Declaration goods item number’ (as a workaround of the transitional rule E1406).  **The G0715 and G0716 will be added:**   * **in DDNXA-5.15.0 on messages CD501C, CD503C, CD538C, CD540C, CD543C, CD563C.** * **in DDNTA-5.15.0 on messages CD001C, CD003C, CD038C, CD050C, CD115C, CD160C, CD165C.**   **The G0715 will be also added:**   * **in DDNTA-6.2.0 on messages CD001D, CD003D, CD038D, CD050D, CD115D, CD160D, CD165D.**   The **Appendix K** remains unchanged for C0715:   * Validation by Sender is **required (R)**, * Validation by Received is **NOT to be applied (N)**.   The **Appendix K** is extended to include the G0715 and G0716 (without any validation, as all other guidelines).  **DMP Impact:**  **Based on the above changes, the ‘Resolution for Downgrade’ analysis of Common Domain messages in DMP 5.7.0-v1.00 package, should also be updated to clarify that only Common Risks will be downgraded to legacy messages when Combined Risk is identified. The ‘Resolution for Downgrade’ of Data Item /\*/RiskAnalysisIdentification/RiskAnalysis/RiskAnalysisResult/code will be rephrased to:**   * **NCTS-P5: *No issue for downgrade since C0715 makes the DI required when RISK ANALYSIS IDENTIFICATION.Code is 'R' or 'Y', which are the values for downgrade risk. Also, during the Transitional Period, only the Common risks will we inserted in the Data Group <RISK ANALYSIS> of the legacy message in case of Combined Risk identified. Furthermore, no formatting issue, since E1101 will be applied during TP to set the format to an..5 and a transitional pattern has been defined on the XSDs “.{0,4}[E]” in order to allow values to NCTS-P4.*** * **AES-P1: *No issue for downgrade since C0715 makes the DI required when RISK ANALYSIS IDENTIFICATION.Code is 'R' or 'X', which are the values for downgrade risk. Also, during the Transitional Period, only the Common risks will we inserted in the Data Group <RISK ANALYSIS> of the legacy message in case of Combined Risk identified. Furthermore, no formatting issue, since E1101 will be applied during TP to set the format to an..5 and a transitional pattern has been defined on the XSDs “.{0,4}[DEZ]” in order to allow values to ECS-P2.***   **IMPACT ASSESSMENT:**  This correction concerns the Common Domain messages, it is proposed to be deployed in a **Big Bang** approach.  **Proposed** date of applicability in Operations (**T-Ops**):   **Big-bang on 06.05.2023** [or when the NTA / NECA starts exchanging NCTS-P5 / AES messages on the Common Domain (PROD) if starting after that date]  **Proposed** date of applicability in CT (**T-CT**) for NCTS-P5:                     15.03.2023 (to be confirmed)  **Proposed** date of applicability in CT (**T-CT**) for AES-P1:                     31.03.2023 (to be confirmed)  **Expected** date of approval by ECCG (**T-CAB**):                  **11.01.2023** **[AES] – Via e-mail for NCTS-P5**  **Risk in case of non-implementation:**  Confusion will remain. Divergence in implementation. Risk of some rejections, likely not many, but important risk information will be ignored.  **Impacted messages:**   * in DDNXA-5.15.0 on messages CD501C, CD503C, CD538C, CD540C, CD543C, CD563C. * in DDNTA-5.15.0 on messages CD001C, CD003C, CD038C, CD050C, CD115C, CD160C, CD165C. * in DDNTA-6.2.0 on messages CD001D, CD003D, CD038D, CD050D, CD115D, CD160D, CD165D.   **Impacted CI Artefacts:**   * **CSE-v51.8.0: Yes.**   **NCTS-P5:**   * **DDNTA-5.15.0-v1.00 (Appendices ’Q2\_R\_C’, ‘Q2’ and K): Yes.** * Functional Specifications NCTS-P5 (FSS/BPM): 5.30.2: No. * DDCOM-20.4.0-v1.00: No. * DDNTA-5.15.0-v1.00 (Main Document): No. * **DMP Package-v5.7.0-v1.00: Yes.** * **CTS-5.7.3-v1.00: Yes.** * ACS Main Document: v5.8.0-v1.00 & ACS Annex for NCTS: 5.8.0-v1.00: No. * **CTP-5.12.0-v1.00: Yes.** * **NCTS\_TRP-5.12.0-v1.00: Yes.** * **CRP-5.7.4-v1.00: Yes.** * ieCA 1.0.4.1: No. * AES-P1 and NCTS-P5 Long-Lived “Legacy” (L3) Movements Study v1.50-v1.00: No. * CS/MIS2\_DATA: No. * CS/RD2\_DATA: No. * UCC IA/DA Annex B: No.   **AES-P1:**   * **DDNΧA-5.15.0-v1.00(Appendices Q2, Q2\_R\_C, K): Yes.** * DDNXA-5.15.0-v1.00 (Main Document): No * **AES\_DMP Package-5.7.0-v1.00 (incl. update of file Rules and Conditions\_v0.50): Yes.** * ACS: 5.8.0-v1.00 & ACS-Annex-AES/NCTS: 5.8.0-v1.00: No * **AES\_CTP-5.12.0-v1.00: Yes** * **AES\_TRP-5.12.1-v1.01: Yes.** * **AES\_CTS-5.7.4-v1.00: Yes** * **AES\_CRP-5.7.6-v1.0: Yes.** * ieCA 1.0.4.1: No. * AES-P1 and NCTS-P5 Long-Lived “Legacy” (L3) Movements Study v1.50-v1.00: No. * CS/MIS2\_DATA: No. * CS/RD2\_DATA: No. * UCC IA/DA Annex B: No.   **NCTS-P6:**   * **CSE-v60.4.0: Yes.** * **DDNTA-6.2.0-v1.00 (Appendices ’Q2\_R\_C’, ‘Q2’): Yes.** * DDNTA-6.2.0-v1.00 (Main Document): No. * DDCOM-21-3.0-v1.00: No. * Functional Specifications NCTS-P6 (FSS/BPM): 7.10.0: No |

**Impact on CI artefacts**

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| **DDNXA-5.15.0-v1.00 (Appendices)** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | **Automated. Appendix X, PDF Q2 generated by SpecsManager.** | |
| **DDNTA-5.15.0-v1.00 (Appendices)** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | **Automated. Appendix X, PDF Q2 generated by SpecsManager.** | |
| **DDNTA-6.2.0-v1.00 (Appendices)** | Cosmetic  Low  Medium  High  Very High   |  | | --- | | **Automated. Appendix X, PDF Q2 generated by SpecsManager.** | |
| **CSE-51.8.0** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **See section 3. Minor correction in one message.** | |
| **CSE-60.4.0** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **See section 3. Minor correction in one message.** | |
| **DMP-5.7.0-v1.00** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **Update of the Data\_Mapping Resolutions and XLS files.** | |
| **CTP-5.12.0-v1.00**  **(NCTS-P5)** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **See section 3. Alignment of messages according to the updates of the specifications.** | |
| **CTP-5.12.0-v1.00**  **(AES-P1)** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **See section 3. Alignment of messages according to the updates of the specifications.** | |
| **TRP-5.12.0-v1.00**  **(NCTS-P5)** | Cosmetic Low  Medium  High  Very High  Draft impact on CTP/TRP during the DDNA IAR/RFC proposal:   |  |  |  |  | | --- | --- | --- | --- | | **Updated TC (Partially passed or Failed if NA not aligned)** | **Yes** | **For C0715:**   * TTV-C0715 |  | | **Deleted Test Cases:** | **No** | **None** |  | | **New Test Cases:** | **No** | **None** | | | **Updated Drools:** | **Yes** | **For C0715** | | | **Other:** | *Update in file Rules.drl for the new wording of C0715.* | | | |
| **TRP-5.12.1-v1.01**  **(AES-P1)** | Cosmetic Low  Medium  High  Very High  Draft impact on CTP/TRP during the DDNA IAR/RFC proposal:   |  |  |  |  | | --- | --- | --- | --- | | **Updated TC (Partially passed or Failed if NA not aligned)** | **Yes** | **For C0715:**   * EBS-EFT-009\_1, * EBS-T-EXP-001\_1 |  | | **Deleted Test Cases:** | **No** | **None** |  | | **New Test Cases:** | **No** | **None** | | | **Updated Drools:** | **Yes** | **For C0715** | | | **Other:** | Updated applicability of G0715, G0716. | | | |
| **CTS-5.7.3-v1.00**  **(NCTS-P5)** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **Updating mapping function for downgrade, as defined in section 3 above.** | |
| **CTS-5.7.4-v1.01**  **(AES-P1)** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **Updating mapping function for downgrade, as defined in section 3 above.** | |
| **CRP-5.7.6-v1.00** | Cosmetic Low  Medium  High  Very High  Short description   |  | | --- | | **Upgrade to load the corrected CTS.** | |

**Estimated impact on National Project**

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| Cosmetic  Low  Medium  High  Very High  Short description   |  | | --- | | Impact on the interface with the Risk engine and on Common Domain messages for AES and NCTS-P5. | |

| **Document History** | | | |
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| **Version** | **Status** | **Date** | ***Comment*** |
| v0.10 | Draft by SOFTDEV | 20/12/2022 | *Draft by SOFTDEV* |
| v0.20 | Upgraded draft by DG TAXUD/B3 | 21/12/2022 | *Major corrections - Will be part of RFC-List.37, as requested by NA-IE during the CAB on 21.12.2022.* |
| v0.21 | Draft by DG TAXUD/B3 | 26/12/2022 | *Extension to include both AES & NCTS changes.*  *Global improvements.* |
| v0.22 | Draft by DG TAXUD/B3 | 26/12/2022 | *Reference to JIRA-3151 added.* |
| v0.23 | Draft by DG TAXUD/B3 | 30/12/2022 | *Addition of G0716.* |
| v1.00 | SfA to NPMs | 10/01/2023 | *Proposed to be part of the RFC-List.37, as requested by NA-DE on 21.12.2022.*  *For acceptance by NPMs, to unblock NA-DE.* |
| v1.10 | SfA to NPMs with implementation details. | 10/03/2023 | *SfA to NPM* ***with implementation details.***  *DMP, CTS, CTP and TRP impact added.*  *Title updated for horizontal alignment reasons.* |